#### HONG KONG BAPTIST UNIVERSITY

P388/A3

# **HUMAN RESOURCES POLICIES & PROCEDURES/APPOINTMENT**

#### **Staff Selection Panels**

# 1. FOR ACADEMIC/TEACHING AND RELATED POSTS

# 1.1 Position at the Dean of Faculty/School Level

- (a) The President and Vice-Chancellor as the Chairman;
- (b) The Provost;
- (c) Vice-Presidents;
- (d) A Member of the Council or an external expert or a senior staff member of the University at Head of Office or Faculty/School Dean level; and
- (e) Staff from within the Faculty/School may be co-opted at the discretion of the Chairman.

### Note:

In the event that candidates for the Deanship position are at the same time being considered for appointment at the Chair Professor rank, additional members will be invited as follows:

- (i) A Dean of Faculty/School if this member is not included in the above;
- (ii) One Chair Professor who is not a Dean of Faculty/School to be appointed by the Chairman on a standing basis for a fixed term of two years;
- (iii) One other Chair Professor who is not a Dean of Faculty/School different from that in (i) and if this member is not included in the above; and
- (iv) One or Two External Members who are experts in the same or related field, whenever necessary, at the discretion of the Chairman.

### 1.2 Position at Chair Professor Rank

- (a) The President and Vice-Chancellor as Chairman;
- (b) The Provost;
- (c) The Vice-President (Research and Development) or Chairman of the Research Committee;
- (d) One Chair Professor who is not a Dean of Faculty/School appointed by the Chairman on a standing basis for a fixed term of two years;
- (e) One other Chair Professor who is not a Dean of Faculty/School different from that in (d) above;

- (f) The Dean of the relevant Faculty/School;
- (g) The Dean of another Faculty/School different from that in (f); and
- (h) One or Two External Members who are experts in the same or related field, whenever necessary, at the discretion of the Chairman.

#### Note:

- (1) The Chairman may co-opt additional senior staff members to serve on the panel as the need arises.
- (2) Shortlisted candidates will submit at least 3 samples of scholarly work to the Staff Selection Panel.
- On the arrangement of external assessment, reference should be made to the Policy and Procedures on Chair Professorship.

## 1.3 Position at Professor Rank

- (a) The Provost as Chairman or, if he deems appropriate after considering the shortlist, to delegate to the Dean of the relevant Faculty/School;
- (b) The Dean of the relevant Faculty/School if he/she is not the Chairman;
- (c) The relevant Head of Department/Programme Head/Course Director;
- (d) One senior staff member from within the Faculty/School, and one other senior staff member from outside the Faculty/School;
- (e) Two external assessors (through presence or correspondence).

### Note:

- (1) The President and Vice-Chancellor (unless he chooses to delegate the responsibility to the Provost) shall be the Chairman for a staff selection panel set up for the recruitment of an academic staff who is expected to also assume headship of a department.
- (2) Shortlisted candidates will submit at least 3 samples of scholarly work to the Staff Selection Panel.
- (3) Shortlisted candidates will normally be requested to conduct a seminar presentation before the interview.

# 1.4 <u>Position at Assistant Professor/Associate Professor/Senior Lecturer and above/Senior Instructor and equivalent ranks</u>

- (a) The Dean of relevant Faculty/School as Chairman or, if he/she deems appropriate to delegate to the Associate Dean who should be at a level higher than the recruiting position;
- (b) The relevant Head of Department/Programme Head/Course Director as appropriate;

- (c) A senior staff member from within the relevant Department/Faculty/School; and
- (d) A senior member, preferably at the Head of Department level, from another Faculty/School.

#### Note:

(1) Shortlisted candidates for academic positions will submit at least 3 samples of scholarly work to the Staff Selection Panel.

# 1.5 <u>Positions at Research Assistant Professor/Assistant Lecturer/Lecturer I/II and Instructor I/II Ranks</u>

- (a) The Dean of relevant Faculty/School as Chairman or, if he/she deems appropriate to delegate to the Associate Dean or the relevant Head of Department;
- (b) Two senior staff members including the relevant Head of Department if he/she is not the Chairman or the relevant Programme Head/Course Director as appropriate; and
- (c) One other staff member to be co-opted at the discretion of the Chairman.

# 1.6 Teaching Assistant/Part-time Teaching Staff

- (a) The Head of Department or his/her delegate as Chairman; and
- (b) Two senior staff members from the Department.

# Note:

(1) The appointment period for such posts is normally less than one year.

# 2. FOR NON-TEACHING POSTS

### 2.1 Position at Head of Office Level

- (a) The President and Vice-Chancellor as Chairman;
- (b) The Provost;
- (c) Vice-Presidents;
- (d) A Member of the Council\* (refer to note 2) or an external expert or a senior staff member of the University at Head of Office or Faculty/School Dean level.

#### Note:

- (1) For recruitment of a Head of Office below Band I in the Managerial/Senior Professional Category, the President and Vice-Chancellor has the discretion to form a smaller panel as follows:
  - (a) The President and Vice-Chancellor as Chairman, or if he/she deems appropriate to delegate to the Provost or a Vice-President;

- (b) The Provost or the relevant Vice-President if he/she is not the Chairman, and one other Vice-President or Provost:
- (c) A Member of the Council\* (refer to note 2) or an external expert or a senior staff member of the University at Head of Office or Faculty/School Dean level.
- (2) In the event that the Head of Office to be recruited is Secretary to a Committee of Council, a Member of the Council, preferably the Chairman or Deputy Chairman of the relevant committee, should be a core member of the selection panel.
- (3) Candidates may be invited to write in response to the specific requirements of the post, make presentation and/or attend relevant written test/professional skill test as appropriate.

# 2.2 Positions in Managerial/Senior Professional Category but not at Head of Office level

- (a) The President and Vice-Chancellor/Provost/Vice-President in accordance with the reporting relationship of the Office concerned or under his delegation, the Dean/Head of Office as Chairman:
- (b) Two senior staff members including the Dean/Head of Office if he/she is not acting as Chairman;
- (c) An External Assessor or one other senior staff member from outside the Office to be co-opted.

#### Note:

(1) Candidates will normally be invited to write in response to the specific requirements of the post, make presentation and/or attend relevant written test/professional skill test as appropriate.

# 2.3. <u>Positions in Executive/Professional Category</u>

- (a) The relevant Head of Office/Department or his/her delegate as the Chairman;
- (b) Two senior staff members including the relevant Section Head if he/she is not the Chairman, and if senior members are not available, two staff member from within the Office or the Faculty/School and who are at a level higher than the recruiting position; and
- (c) One other staff member to be co-opted at the discretion of the Panel Chairman.

#### Note:

(1) Candidates will normally be required to attend written/aptitude tests for appointment in Executive/Professional Category unless otherwise advised by the relevant Dean/Head of Office.

# 2.4. Positions in General Category

(a) The Head of Department/Office/Section or Programme Head/Course Director (or a senior staff member nominated by him/her) as Chairman;

- (b) A senior staff member of the relevant Office or the Faculty, and if such a member is not available, a staff member from within the Office and who is at least one level higher than the recruiting position; and
- (c) A staff member in Executive/Professional Category or above from the Human Resources Office or from outside the Office to be co-opted by the Chairman.

## Note:

(1) Candidates will normally be required to attend aptitude or skill tests as appropriate for appointments in General Category.

## 3. GENERAL NOTES FOR ALL POSTS

- (1) For the recruitment of any posts, the relevant Staff Selection Panel should consist of at least three members. The Chairman of the relevant Staff Selection Panel may also coopt any other member(s) at his/her discretion;
- (2) Apart from seminar presentation or written/aptitude/skill test required for certain appointments as stipulated above, the recruiting Department/Office may propose any other types of tests to be arranged to help assess the candidates' suitability for the positions to be filled.
- (3) In case of any deviation from the established guidelines, justifications should be provided and approval for exceptional arrangement would need to be sought from the President and Vice-Chancellor.

# 4. SUPPORT FROM THE HUMAN RESOURCES OFFICE

The Human Resources Office shall provide support to the Staff Selection Panels (except for Teaching Assistant appointment, part-time appointment and temporary appointment of 6 months or less duration which shall be handled directly by the Department/Office concerned) in: (a) making all necessary administrative arrangements pertaining to the set-up of the interview; (b) advising the Panel on the proposed salary level and terms of appointment appropriate to the short-listed candidates in accordance with the established guidelines on Conditions of Appointment, and internal relativities and market forces considerations; (c) keeping a record of the interview including the date, panel membership, candidates short-listed and interviewed, decision and salary recommendation; and (d) forwarding the Panel Resolution on Recommended Appointment to the appropriate authority for approval.

Human Resources Office April 2012