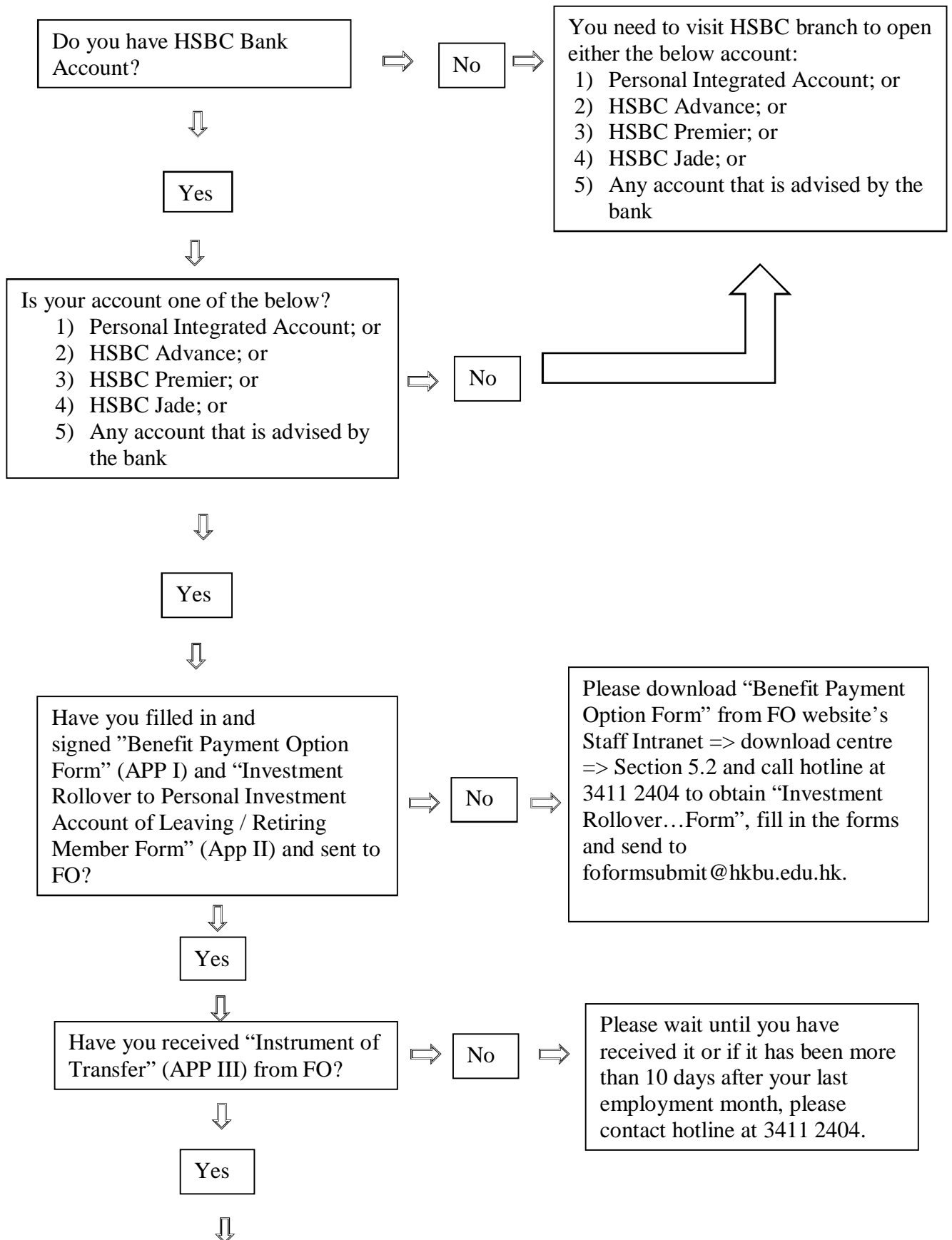


## Roll-over of HSBC units to personal investment account opened with HSBC branch





Please visit the branch where you open your account with or contact your Relationship Manager to obtain the “Transfer In/Out of Unit Trusts Instruction Form (For Personal Customer)” (APP IV) and ask the Relationship Manager to assist you in filling in the form with reference to the “Instrument of Transfer” given by FO/BCT. If you have any problem, please contact HSBC hotline at 2284 1281 and indicate that you are HKBU staff opening a rollover account.

**Remarks:**

**The HSBC Festival Walk branch is recommended for members’ visit as they have handled related roll-over cases previously. There might be a chance that other branches may require more time to work out the nature of your request.**

Samples of forms:

- |   |         |
|---|---------|
| 1) Benefit Payment Option Form (for All Terminating Member)                         | App I   |
| 2) Investment Rollover to Personal Investment Account of Leaving / Retiring Member  | App II  |
| 3) Instrument of Transfer   | App III |
| 4) Transfer In/Out of Unit Trusts Instruction Form (For Personal Customer) (Note 1) | App IV  |

**Note 1:**

The sample of the form may not be the latest version as it will be changed by HSBC branch from time to time without HKBU’s acknowledgement since HKBU does not have business relationship with HSBC retail banking in Hong Kong. Members need to obtain the latest version in order to do a valid transfer.